## **CENTALINE SECURITIES LIMITED**

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## 中原證券有限公司

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## CHANGE OF CLIENT INFORMATION 客戶資料更改表格 KNOWLEDGE OF DERIVATIVE PRODUCT 對衍生產品的認識

客戶姓名 Name of clie	nt:		<u> </u>
客戶號碼 Client no.:			<u> </u>
	nowledge of Derivative Prod  Please provide proof for the c		
	個人或聯名戶口持有。 Individual/Primary Joint Acco		聯名戶口持有人 Secondary Joint Account Holder
對衍生產品的認識 Knowledge of Derivative Products	本人理解衍生產品的特質及風I understand the characterist of derivative products by  1. □ 曾接受衍生產品的培訓或何 Underwent training or at courses about derivative  2. □ 曾於金融、監管機構或交织作 Working experiences derivative products  3. □ 過去三年內,進行過逾五年的交易 Executing five or transactions in derivative within the past 3 years  □ 本人並不認識衍生產品 I have NO knowledge of der products	險,因為 tics and risks  修讀相關課程 ttended e products 易所從事相關工 a related to  次有關衍生產品 more e products	□ 本人理解衍生產品的特質及風險,因為 I understand the characteristics and risks of derivative products by  1. □ 曾接受衍生產品的培訓或修讀相關課程     underwent training or attended     courses about derivative products  2. □ 曾於金融、監管機構或交易所從事相關工作 working experiences related to derivative products  3. □ 過去三年內,進行過逾五次有關衍生產品的交易 executing five or more transactions in derivative products within the past 3 years  □ 本人並不認識衍生產品I have NO knowledge of derivative products
個人或聯名戶口持有人簽 Individual/Primary Join Specimen	署式樣 t Account Holder's Signature and	聯名戶口持有人簽 Secondary Joint	後署式樣 : Account Holder's Signature and Specimen
客戶姓名 Name of client:		客戶姓名 Name of client:	
日期 Date:		日期 Date:	
		rnal Use Only	
Approved by RO		Client s	signature verified by Settlement
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