

CENTALINE SECURITIES LIMITED

Suites 805-806, 8/F, South Tower, World Finance
Centre, Harbour City, Nos. 17-19 Canton Road,
Tsimshatsui, Kowloon, HK
Website : www.centasec.com
Tel : (852) 3968-2222 Fax : (852) 3579-0880

中原證券有限公司

香港九龍尖沙咀廣東道 17-19 號海港城環球金融中心南座
8 樓 805-806 室網址 : www.centasec.com
電話 : (852) 3968-2222 傳真 : (852) 3579-0880

CHANGE OF CLIENT INFORMATION 客戶資料更改表格
KNOWLEDGE OF DERIVATIVE PRODUCT 對衍生產品的認識

客戶姓名 Name of client: _____

客戶號碼 Client no. : _____

對衍生產品的認識 Knowledge of Derivative Product

(若在開戶後三個內更改資料，請提供資料更改的證明 Please provide proof for the change if the change is made within 3 months of the account opening)

	個人或聯名戶口持有人 Individual/Primary Joint Account Holder	聯名戶口持有人 Secondary Joint Account Holder
對衍生產品的認識 Knowledge of Derivative Products 註: 若客戶表示對衍生產品有認識，請中原證券持牌員工查詢及在以下說明客戶認識的來源 Note: If client declares he/she possesses knowledge of derivatives, Centaline Securities licensed staff please gives details below of client's knowledge. _____ _____ _____	<input type="checkbox"/> 本人理解衍生產品的特質及風險，因為 I understand the characteristics and risks of derivative products by 1. <input type="checkbox"/> 曾接受衍生產品的培訓或修讀相關課程 Underwent training or attended courses about derivative products 2. <input type="checkbox"/> 曾於金融、監管機構或交易所從事相關工作 Working experiences related to derivative products 3. <input type="checkbox"/> 過去三年內，進行過逾五次有關衍生產品的交易 Executing five or more transactions in derivative products within the past 3 years <input type="checkbox"/> 本人並不認識衍生產品 I have <u>NO</u> knowledge of derivative products	<input type="checkbox"/> 本人理解衍生產品的特質及風險，因為 I understand the characteristics and risks of derivative products by 1. <input type="checkbox"/> 曾接受衍生產品的培訓或修讀相關課程 underwent training or attended courses about derivative products 2. <input type="checkbox"/> 曾於金融、監管機構或交易所從事相關工作 working experiences related to derivative products 3. <input type="checkbox"/> 過去三年內，進行過逾五次有關衍生產品的交易 executing five or more transactions in derivative products within the past 3 years <input type="checkbox"/> 本人並不認識衍生產品 I have <u>NO</u> knowledge of derivative products

個人或聯名戶口持有人簽署式樣 Individual/Primary Joint Account Holder's Signature and Specimen _____ 客戶姓名 Name of client: _____ 日期 Date: _____	聯名戶口持有人簽署式樣 Secondary Joint Account Holder's Signature and Specimen _____ 客戶姓名 Name of client: _____ 日期 Date: _____
--	--

Internal Use Only	
Approved by RO	Client signature verified by Settlement